



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
|--------------------|---------------------|-----------------------|------------------------|

09/884,877

06/20/2001

Henricus Petrus Joseph Te  
Riele

065691-0230

ACTION DUE - *missing parts*

CONFIRMATION NO. 3654

CLIENT/MATTER # *65691/230*

FORMALITIES LETTER

DUE DATE - *09/24/2001*



DKTD BY *BN*

\*0000000000633274\*

ATTY *SBM*

Date Mailed: 07/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/27/2001 HGBREH1 00000041 09884877

FILED UNDER 37 CFR 1.53(b)

01 FC:101  
02 FC:103  
03 FC:105

740.00 DP  
108.00 DP  
130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$54.
  - \$54 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09884877.122601

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: TE RIELE *et al.*



Title: HOMOLOGOUS RECOMBINATION IN  
MISMATCH REPAIR INACTIVATED  
EUKARYATIC CELLS

Applicant: 09/884,877

Filing Date: June 20, 2001

Examiner: Unassigned

Art Unit: 1633

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on July 24, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ [ X ] Petition for Extension of Time (1 pg.)
- ☒ [ X ] Declaration and Power of Attorney (4 pages)
- ☒ [ X ] Return Copy of Notice to File Missing Parts (2 pgs.)
- ☒ [ X ] Substitute Specification with Cover Sheet (46 pgs.)
- ☒ [ X ] Marked-Up Version of Specification (66 pgs.)
- ☒ [ X ] Preliminary Amendment with new claims and abstract (6 pgs.)
- ☒ [ X ] Amendment Transmittal (2 pgs.)

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to

Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 26, 2001

By

Brian Lathrop

Reg. No. 43,740

for

Stephen B. Maebius  
Attorney for Applicant  
Registration No. 35,264

FOLEY & LARDNER

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Facsimile: (202) 672-5399

00884877.122601

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Henricus Petrus Joseph TE RIELE, et al.  
 Title: HOMOLOGOUS RECOMBINATION IN MISMATCH REPAIR  
 INACTIVATED EUKARYATIC CELLS  
 Appl. No.: 09/884,877  
 Filing Date: June 20, 2001  
 Examiner: Not Yet Assigned  
 Art Unit: 1633

**AMENDMENT TRANSMITTAL**

Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.
- [ ] Small Entity statement is enclosed.
- [ X ] The fee required for additional claims is calculated below:

|  | Claims<br>as Amended |   | Previously<br>Paid For |   | Extra<br>Claims<br>Present |   | Rate     |   | Additional Claims<br>Fee |
|--|----------------------|---|------------------------|---|----------------------------|---|----------|---|--------------------------|
| Total Claims:  | 26                   | 0 | 20                     | = | 6                          | x | \$18.00  | = | \$108.00                 |
| Independents:  | 2                    | 0 | 3                      | = | 0                          | x | \$84.00  | = | \$0.00                   |
| First presentation of any Multiple Dependent Claims: |                      |   |                        |   |                            | + | \$280.00 | = | \$0.00                   |
| CLAIMS FEE TOTAL:                                    |                      |   |                        |   |                            |   |          |   | \$108.00                 |

- [ X ] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

|                                     |   |            |           |
|-------------------------------------|---|------------|-----------|
| <input type="checkbox"/>            | Extension for response filed within the first month:  | \$110.00   | \$0.00    |
| <input type="checkbox"/>            | Extension for response filed within the second month: | \$400.00   | \$0.00    |
| <input checked="" type="checkbox"/> | Extension for response filed within the third month:  | \$920.00   | \$920.00  |
| <input type="checkbox"/>            | Extension for response filed within the fourth month: | \$1,440.00 | \$0.00    |
| <input type="checkbox"/>            | Extension for response filed within the fifth month:  | \$1,960.00 | \$0.00    |
| EXTENSION FEE TOTAL:                |   |            | \$920.00  |
| CLAIMS AND EXTENSION FEE TOTAL:     |   |            | \$1028.00 |
| <input type="checkbox"/>            | Small Entity Fees Apply (subtract ½ of above):        |            | \$0.00    |
| TOTAL FEE:                          |   |            | \$1028.00 |

- ☐ Please charge Deposit Account No. 19-0741 in the amount of \$1028.00. A duplicate copy of this transmittal is enclosed.
- ☒ A check in the amount of \$1,898.00 is enclosed (\$740.00 Basic Filing Fee; \$108.00 Additional Claims Fee; \$920.00 Three Month Extension of Time Fee; \$130.00 late filing fee for Declaration).
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date December 26, 2001

By Brian Poth Reg. No. 43,740

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